| Substitute Form PTO-1449 (Modified)  U.S. Department of Commerce Patent and Trademark Office | Attorney's Docket No. 10454-002001 | Application No. 09/188,739 |
|---|---|---|
| **Information Disclosure Statement by Applicant** (Use several sheets if necessary) (37 CFR §1.98(b)) | Applicant Phillip A. Porras et al. | |
| | Filing Date November 9, 1998 | Group Art Unit 2182 |

## U.S. Patent Documents

| Examiner Initial | Desig. ID | Patent Number | Issue Date | Patentee | Class | Subclass | Filing Date If Appropriate |
|---|---|---|---|---|---|---|---|
| | AA | | | | | | |
| | AB | | | | | RECEIVED | |
| | AC | | | | | JUN 2 1 2001 | |
| | AD | | | | | | |
| | AE | | | | | Technology Center 2100 | |
| | AF | | | | | | |
| | AG | | | | | | |
| | AH | | | | | | |
| | AI | | | | | | |
| | AJ | | | | | | |
| | AK | | | | | | |

## Foreign Patent Documents or Published Foreign Patent Applications

| Examiner Initial | Desig. ID | Document Number | Publication Date | Country or Patent Office | Class | Subclass | Translation Yes | No |
|---|---|---|---|---|---|---|---|---|
| | AL | | | | | | | |
| | AM | | | | | | | |
| | AN | | | | | | | |
| | AO | | | | | | | |
| | AP | | | | | | | |

## Other Documents (include Author, Title, Date, and Place of Publication)

| Examiner Initial | Desig. ID | Document |
|---|---|---|
| 7H | AQ | Debar, et al., "Towards a Taxonomy of Intrusion-Detection Systems," Computer Networks 31 (1999), 805-822 |
| 7H | AR ✓ | Garvey, et al., "An Inference Technique for Integrating Knowledge from Disparate Sources," Proc. IJCAI, Vancouver, B.C., August, 1981, 319-325 |
| 7H | AS | Kaven, "The Digital Doorman," PC Magazine, November 16, 1999 |
| 7H | AT | Lindqvist, et al., "Detecting Computer and Network Misuse Through the Production-Based Expert System Toolset (P-BEST)," October 25, 1998 |

| Examiner Signature _Thomas Decker_ | Date Considered 7-25-01 |
|---|---|

EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

COPY